

# NORTH AMERICA INTERNATIONAL PATENT OFFICE

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**ART UNIT: 2823**

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**FROM : Winston Hsu, PATENT AGENT, REG. NO. : 41,526**

**SUBJECT: SERIAL NO. : 10/065,663**

**RESPONSE TO OFFICE ACTION MAILED ON 08/13/2003**

**TOTAL PAGES : 11 PAGES (INCLUDING COVER PAGE)**

The hardcopy of this response will be sent to USPTO by Federal Express  
right away.

Winston Hsu 2003/10/30

PTO/SB/87 (08-00)

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APPLICATION NUMBER: 10/065,663

PAPERS INCLUDED:

(1) Transmittal Form	1 PAGE
(2) Fee Transmittal	1 PAGE
(3) Response to the Office Action	7 PAGES

Burden Hour Statement: This form is estimated to take 0.03 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PTO/SB/21 (08-00)

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# TRANSMITTAL FORM

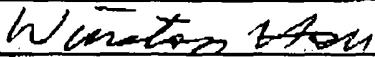
(to be used for all correspondence after initial filing)

		Application Number	10/065,863
		Filing Date	11/07/2002
		First Named Inventor	Man-Chun Hu
		Group Art Unit	2823
		Examiner Name	Toledo, Fernando L
Total Number of Pages in This Submission	9	Attorney Docket Number	ALIP0010USA

**ENCLOSURES (check all that apply)**

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Change of Correspondence Address	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		
Response to the office action has been sent to the examiner by fax on 10/30/2003		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Winston Hsu, Reg. No.: 41,526	
Signature		
Date	10/29/2003	

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: \_\_\_\_\_

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PTO/SB/17 (01-03)

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# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 0.00)

## Complete If Known

Application Number	10/086,663
Filing Date	11/07/2002
First Named Inventor	Man-Chun Hu
Examiner Name	Toledo, Fernando L
Art Unit	2823
Attorney Docket No.	ALIP0010USA

## METHOD OF PAYMENT (check all that apply)

 Check     Credit card     Money Order     Other     None
 Deposit Account:

50-0801
North America International Patent Office

The Commissioner is authorized to: (check all that apply)

 Charge fee(s) indicated below     Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (4)	Fee Code (5)	Fee Code (6)	
1001 780	2001 375	Utility filing fee	
1002 330	2002 185	Design filing fee	
1003 520	2003 280	Plant filing fee	
1004 780	2004 375	Reissue filing fee	
1005 180	2006 80	Provisional filing fee	
SUBTOTAL (1) (\$ 0.00)			

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Independent Claims	Multiple Dependent	Extra Claims Fee from below	Fee Paid
			-20** =	x
			- 3** =	x

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (5)	Fee Code (6)	Fee Code (6)	
1202 18	2202 9	Claims in excess of 20	
1201 84	2201 42	Independent claims in excess of 3	
1203 280	2203 140	Multiple dependent claim, if not paid	
1204 84	2204 42	** Reissue independent claims over original patent	
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2) (\$ 0.00)			

\*\* or number previously paid, if greater; For Reissues, see above

## 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (6)	Fee Code (6)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or cash	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 820*	1804 820*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 65	Extension for reply within first month	
1252 410	2252 205	Extension for reply within second month	
1253 980	2253 465	Extension for reply within third month	
1254 1,460	2254 725	Extension for reply within fourth month	
1255 1,870	2255 965	Extension for reply within fifth month	
1401 320	2401 180	Notice of Appeal	
1402 320	2402 180	Filing a brief in support of an appeal	
1403 280	2403 140	Request for oral hearing	
1451 1,610	1451 1,610	Petition to institute a public use proceeding	
1482 110	2482 65	Petition to revive - unavoidable	
1453 1,300	2453 650	Petition to revive - unintentional	
1501 1,300	2501 650	Utility issue fee (or release)	
1502 470	2502 235	Design issue fee	
1503 630	2503 315	Plant issue fee	
1480 130	1480 130	Petitions to the Commissioner	
1807 60	1807 50	Processing fee under 37 CFR 1.17(q)	
1808 180	1808 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1508 750	2808 375	Filing a submission after final rejection (37 CFR 1.126(a))	
1810 760	2810 375	For each additional invention to be examined (37 CFR 1.128(b))	
1801 750	2801 375	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	
Other fee (specify)			
*Reduced by Basic Filing Fee Paid			
SUBTOTAL (3) (\$ 0.00)			

(Complete if applicable)

Name (Print/Type)	Winston Hsu	Registration No. (Attorney/Agent)	41,526	Telephone 886289237350
Signature	<i>Winston Hsu</i>	Date	10/29/2003	

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

5      **Applicant:** Man-Chun Hu      **Examiner:** Toledo, Fernando L  
      Wen-Chung Lin  
**Filing Date:** 11/07/2002      **Art Unit:** 2823  
**App. No.:** 10/065,663      **Docket No.:** ALIP0010USA

10     **Title:** INTEGRATED CAPACITOR AND METHOD OF MAKING SAME

15     **To:** Commissioners for Patents  
         P.O. Box 1450  
         Alexandria, VA 22313-1450

15     **Subject:** Response to the Office action dated 08/13/2003

20

Dear Sir or Madame:

## INTRODUCTORY COMMENTS

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In response to the Office action identified above, the above-identified application is to be amended as indicated in the following sections. Consideration of all amendments is politely requested.

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